

RADIAN Compliance

for today's world of regulatory IT Compliance Management

Did you know...

- Section 404 of SOX applies directly to the IT department's controls and the CIO's responsibilities?
- A Company needs to demonstrate to the SEC the "Spirit of the Law" when it comes to SOX and Section 404.
- Public SMB companies must be compliant by Q4-2007?

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How can The Radian Group help you implement your own IT Compliance Management program?

- A Company needs to demonstrate to the SEC the "Spirit of the Law" when it comes to SOX and Section 404. Radian will help you Embed the PCAOB standards into your Corporate Culture.
- We will provide a comprehensive onsite assessment of IT Roles, Responsibilities and Practices as each applies to Corporate Compliance within your organization.
- We will create a customized program based on your corporate structure and internal culture to ensure an efficient and cost effective transition into SOX Compliant Best Practices.
- We will assist in the Mapping of Internal IT Controls, Technology Management practices and IT Processes to existing Business Processes and Corporate Best Practices.

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THE
RADIAN
GROUP

Sarbanes Oxley BILL OF RIGHTS

1. You have the right to determine your company business practices:
 - A. To meet competition
 - B. To meet your revenue and growth objectives
 - C. To comply with your Industry regulations
 - D. To comply with governmental regulations
2. You have a right to establish Internal Controls that:
 - A. Assure accuracy of your financial reporting
 - B. Align with your business practices
 - C. Are cost effective
3. You have a right to accept risks in your controls if the expense of remediation exceeds the perceived expense of the risk.
4. You have the right to restrict the audit scope to those corporate activities that affect the financial reporting process.
5. You have the right to negotiate the scope of the audit prior to the beginning of the audit.
6. You have the right to require the auditor to define the deliverables required to complete the audit that are based on historical documentation.
7. You have the right to require the auditor to articulate any perceived deficiencies in terms of the probable impact on your financial reports.
8. You have the right to require the auditor to provide documentation of any 'best practice' the auditor does not feel you meet.
9. You have the right to challenge the auditor's subject knowledge and experience when subjective conclusions are discussed.
10. You have the right to establish projects to remediate known weaknesses that cover a reasonable period of remediation given resource and expense constraints.